

BLM Weekly Audit Report
July 22, 2016

DTS#	Report Title (with hyperlink)	POCs	Report Received	Comments	Program Response & Review	WO100 Review	ASLM Review	PFM Review	Due GAO, OIG, Congress
8367	UNAUTHORIZED GRAZING: Actions Needed to Improve Tracking and Deterrence Efforts (GAO-16-559) Link to the Report: http://www.gao.gov/products/GAO-16-559	Kristin Bail (WO-200)	7/7/2016	WO-100 is reviewing the proposed response.	7/7 – 7/15	7/19 – 7/25	7/26 – 8/1	7/24 8/2	9/3/2016
8203	INDIAN ENERGY DEVELOPMENT: Interior Could Do More to Improve Its Process for Approving Revenue-Sharing Agreements (GAO-16-553) Link to the Report: http://www.gao.gov/products/GAO-16-553	Michael Nedd (WO-300)	6/14/2016	BIA & BLM Consolidated Proposed response continues to undergo Departmental sur naming.	6/15– 6/27 6/15– 7/4	6/27– 6/30 7/5– 7/11	7/4– 7/8 7/5– 7/11	7/11	8/12/2016
TBD	U.S. Department of the Interior's Internal Controls for Purchase Cards and Fleet Cards (2015-ER-011) Link to the Draft Report: N/A	Janine Velasco (WO-800)	7/5/2016	The PAM Office has some concerns with the draft report and will meet with the OIG during the first week of August. They will provide a consolidated response and corrective action plan (e.g. policy, memo, guidelines, etc.) to implement the "agency" recs. BLM currently has "no comment" on the report	7/5– 7/24	7/22 – 7/28	7/29 – 8/4	N/A	8/15/2016

Report Number	Issue	Priority	Key Findings	Timeline	Current Status	Count	Notes
2016-WR-027	The Bureau of Land Management's Wild Horse and Burro Program Is Not Maximizing Efficiencies or Complying with Federal Regulations	3	4) Final edits completed based on WHB Leadership feedback on initial draft. 5) Policy is finalized and issued.	2/15/2017	2/15/2017	100	BLM is working with the new Administration to determine preferred management options. Until decisions are made on a path forward, the skill set and makeup of the team won't be finalized.
			1) Assemble team to develop initial draft of Action Plan. 2) Complete initial draft of Plan for review by WHB Program Leadership. 3) Comments from WHB Leadership back to team. 4) Final edits completed based on WHB Leadership feedback on initial draft. 5) Action Plan is finalized and published.	2/28/2017	2/28/2017	25	
			1) Transition accordingly the five agreements with training and holding of horses to contracts. 2) Conduct Compliance Review. M completed 3) Draft M completed 4) Finalize and issue IM.	4/30/2018	4/30/2018	0	Ongoing. The Colorado State Offices continues to work with the recipient to resolve deficiencies in order to convert the agreement into a contract. The Washington Office continues to work with the State Offices (Arizona, Nevada, and New Mexico) on transitioning the additional agreements into contracts.
				6/30/2018	6/30/2018	0	
				7/1/2018	12/31/2018	0	
				10/31/2019	10/31/2019	0	
2016-WR-027	The Bureau of Land Management's Wild Horse and Burro Program Is Not Maximizing Efficiencies or Complying with Federal Regulations	4	1) Transition accordingly the five agreements with training and holding of horses to contracts. 2) Conduct Compliance Review. M completed 3) Draft M completed 4) Finalize and issue IM.	10/31/2018	10/31/2018	40	Ongoing. The BLM continues to work on the unauthorized grazing manual/handbook.
				9/30/2017	9/30/2017	40	
				10/1/2016	10/31/2018	0	
				10/1/2016	10/31/2018	0	
				10/1/2017	10/31/2018	0	
GAO-16-559	Unauthorized Grazing: Actions Needed to Improve Tracking and Deterrence Efforts	1	Proceed under authority of current regulations	9/19/2016	12/31/2016	100	Ongoing. The BLM continues to work on the unauthorized grazing manual/handbook.
						95	
		2	1) Ensure Rangeland Administration System is capable of documenting and reporting incidents. 2) Provide direction and process in revised Handbook to field offices to use RAS to document incidents	9/19/2016	9/30/2017	5	Ongoing. The BLM continues to work on the unauthorized grazing manual/handbook.
		3	1) Review existing law and regulation 2) Initiate and develop draft Handbook (Revision)	10/1/2016	12/1/2016	90	
				3/1/2017	9/30/2017	0	
				10/1/2017	11/30/2017	0	
			3) Initiate Solicitor Review of Handbook 4) Incorporate Solicitor comment 5) Provide field review and opportunity to comment	12/1/2017	3/31/2018	0	
				04/01/2018	5/31/2018	0	
				3/31/2019			

July 13, 2017

Key Code:

Responsible Official:

Approved for Evaluation:

Closed recommendations are shaded in green.

Findings that are not closed are shaded in red.

Report No.	Report Title	Rec. No.	Type of Finding (MW, NC, SD, ML, BP)	Repeat Finding (Year/No)	Description of Recommendation	Corrective Action Tasks (including milestone dates)	Start Date	End Date	Target Date	Requested Target Date	Responsible Official - Requesters	Responsible Official - Program Office	Percent Completion	Comments
2016-WR-027	The Bureau of Land Management's Wild Horse and Burro Program is Not Maximizing Efficiencies or Complying With Federal Regulations		NC	NO	OIG recommends that the Director instruct BLM Wild Horse and Burro Program officials to develop and implement policy and procedures to ensure that the Washington, D.C. procurement office and BLM State offices document and store appropriate rate determinations and other information in a central location in a manner that avoids and prevents any condition in contractual arrangement that complies with Federal laws and regulations.	12/21/2016	12/21/2016	12/21/2016	NA	NA	Kristin Bail, Assistant Director, Resources and Planning	Dean Bohrad, Chief, Division of Wild Horses and Burros	100	Closed per PEM on 12/21/2016
		4	NC	No	Develop and implement a plan to address the retention of wild horses and burros from short-term to long-term pasture facilities.	1) Assemble team to develop initial draft of policy. 2) Complete initial draft of policy for review by Wild Horse and Burro Program leadership. 3) Comments from WHB Leadership back to team. 4) Final edits completed based on WHB Leadership feedback on initial draft. 5) Policy is finalized and issued.	10/1/2016 1/1/2017 2/1/2017 2/9/2017 2/15/2017	12/31/2016 1/31/2017 2/8/2017 2/28/2017 2/28/2017	3/31/2017	NA	Kristin Bail, Assistant Director, Resources and Planning	Dean Bohrad, Chief, Division of Wild Horses and Burros	100	Closure request is pending final approval.
		3	NC	No	Develop and implement a strategic plan for sustainable on- and off-range wild horse and burro population management.	1) Assemble team to develop initial draft of Action Plan. 2) Complete initial draft of Plan for review by WHB Program leadership. 3) Comments from WHB Leadership back to team. 4) Final edits completed based on WHB Leadership feedback on initial draft. 5) Action Plan is finalized and published.	2/16/2017 5/1/2017 5/1/2017 7/1/2018 1/1/2018	2/28/2017 4/30/2017 6/30/2018 12/31/2018 10/31/2019	11/30/2019	NA	Kristin Bail, Assistant Director, Resources and Planning	Dean Bohrad, Chief, Division of Wild Horses and Burros	25	BLM is working with the new Administration to determine preferred management options. Until decisions are made on a path forward, the skill set and makeup of the team won't be finalized.
		4	NC	No	Comply with existing Federal laws and regulations to ensure all congressional institution programs are functioning under appropriate financial instruments.	1) Terminate according to the five agreements with training and holding of horses to contracts. 2) Conduct Compliance Review 3) Draft IM completed 4) Finalize and issue IM.	10/1/2016 10/1/2016 10/1/2016 10/1/2017	10/31/2016 10/31/2018 9/30/2017 10/31/2018	11/30/2019	NA	Jamie Velasco, Assistant Director, Business, Fiscal and Information Resources Management.	Cory Grant, Chief, Division of Business Resources	40	Ongoing. The Colorado State Office continues to work with the recipient to resolve deficiencies in order to convert the agreement into a contract with the Washington Office. Funds and New Mexico Office are functioning. The additional agreements into contracts.
GAO-16-559	Unauthorized Grazing: Actions Needed to Improve Tracking and Deterrence Efforts	1	NC	NO	Amend the regulations on unauthorized grazing use - 43 C.F.R. Subpart 4150 (2005) - to establish a procedure for the informal resolution of violations at the local level, or have the existing regulations amended to provide for the use of each potential violation as provided by 43 C.F.R. § 4150.2(a) (2005).	Proceed under authority of current regulations	9/19/2016	12/31/2016	3/31/2019	NA	Kristin Bail, Assistant Director, Resources and Planning	Makin Tague, Forest Ranger and Plant Conservation	100	Ongoing. The BLM is required to re-complete the contract to complete the RAS updates. Therefore, the remaining corrective actions are held.
		2	NC	No	Record all incidents of unauthorized grazing, including those resolved informally.	1) Ensure Rangefield Administration System is capable of documenting and reporting 2) Provide direction and process in revised Handbook to field offices to use RAS to document incidents	10/1/2016	2/28/2019	3/31/2019	NA			95	Ongoing. The BLM is required to re-complete the contract to complete the RAS updates. Therefore, the remaining corrective actions are held.
		3	NC	No	Reverse the agency's Unauthorized Grazing Use Handbook to make it consistent with the 43 C.F.R. Pt. 4100 (2005)	1) Review existing law and regulation 2) Initiate and develop draft Handbook (Revision) 3) Initiate Solicitor Review of draft 4) Incorporate Solicitor comments	10/1/2016 3/1/2017 10/1/2017 12/1/2017	12/1/2016 9/30/2017 11/30/2017 3/31/2018	3/31/2019	NA			60	Ongoing. Recommendation 3 is contingent upon the completion of Recommendation 2.