

**Appendix 5: All complaints of observer mistreatment, nationwide, reported to NMFS since January 1, 2008, to present, and any associated reports presenting findings and resultant actions.**

**OBSERVER HARASSMENT/INTIMIDATION/ASSAULT CASES 1/1/2007 TO 12/31/2007**

Incident	Location	Date	Regulation	Violation Description	Status
I0700840	AK	3/13/2007	50 CFR 679.7(g)(5)	HARASS AN OBSERVER	Closed - Lack of Evidence
I0701012	AK	3/20/2007	50 CFR 679.7(g)(5)	HARASS AN OBSERVER	Closed - EMIS
I0701723	AK	4/17/2007	50 CFR 679.7(g)(5)	HARASS AN OBSERVER	Closed - Lack of Evidence
I0701356	AK	4/27/2007	18 USC 1857(1)(L)	SEXUALLY HARASS AN OBSERVER	Criminally Prosecuted
I0701561	PI	5/7/2007	50 CFR 600.725(o)	SEXUALLY HARASS AN OBSERVER	Closed - Lack of Evidence
I0701762	PI	5/31/2007	50 CFR 600.725(o)	HARASS AN OBSERVER	Case Adjudicated
I0702929	NE	8/7/2007	50 CFR 648.14(a)(8)	OBSERVER ASSAULT	Closed - EMIS
I0703739	NE	9/12/2007	50 CFR 600.725(o)	HARASS AN OBSERVER	Closed - Information Only
I0704448	SE	10/23/2007	50 CFR 600.725(o)	HARASS AN OBSERVER	Closed - EMIS
I0704024	AK	10/8/2007	50 CFR 679.7(g)(5)	SEXUALLY HARASS AN OBSERVER	Case Open
I0704176	AK	10/11/2007	50 CFR 679.7(g)(5)	HARASS AN OBSERVER	Closed - Information Only
I0704527	NE	11/16/2007	50 CFR 648.14(a)(8)	HARASS AN OBSERVER	Case Adjudicated
I0704656	AK	11/29/2007	50 CFR 679.7(g)(5)	HARASS AN OBSERVER	Closed - Information Only
I0704645	AK	12/5/2007	50 CFR 679.7(g)(5)	HARASS AN OBSERVER	Case Open
I0704665	AK	12/11/2007	50 CFR 679.7(g)(5)	HARASS AN OBSERVER	Case Open

**OBSERVER HARASSMENT/INTIMIDATION/ASSAULT CASES 1/1/2008 TO 12/31/2008**

Incident	Date	Regulation	Violation Description	Status
I0800243	01/23/2008	50CFR600.725(w)	OBSERVER SAFETY	Closed - Unfounded
I0800954	03/14/2008	50CFR600.725(w)	OBSERVER SAFETY	Closed (EMIS)
I0800954	03/14/2008	50CFR600.725(t)	OBSERVER INTIMIDATION	Closed (EMIS)
I0801387	04/14/2008	50CFR648.14(a)(8)	OBSERVER INTIMIDATION	Case Initiated
I0801387	04/14/2008	50CFR648.14(a)(8)	OBSERVER INTIMIDATION	Case Initiated

I0801422	04/15/2008	50CFR648.14(a)(8)	OBSERVER INTERFERENCE	Case Initiated
I0801422	04/15/2008	50CFR648.14(a)(8)	OBSERVER HARASSMENT	Case Initiated
I0801422	04/15/2008	50CFR648.14(a)(79)	OBSERVER ACCOMODATION	Case Initiated
I0801488	04/20/2008	50CFR600.725(w)	OBSERVER SAFETY ISSUE	Case Initiated
I0801804	05/07/2008	50CFR600.725(w)	OBSERVER SAFETY	Case Initiated
I0801804	05/07/2008	50CFR600.725(w)	OBSERVER SAFETY	Case Initiated
I0802912	07/17/2008	50CFR648.14(a)(8)	OBSERVER INTIMIDATION	Case Initiated
I0803122	08/05/2008	50CFR648.14(a)(8)	HARASS AN OBSERVER	Closed (EMIS)
I0803188	08/06/2008	50CFR648.14(a)(8)	OBSERVER INTERFERENCE	Closed - Unfounded
I0803188	08/06/2008	50CFR648.14(a)(8)	OBSERVER INTERFERENCE	Closed - Unfounded
I0803188	08/06/2008	50CFR648.14(a)(8)	OBSERVER HARASSMENT	Closed - Unfounded
I0803764	09/09/2008	50CFR648.14(a)(8)	OBSERVER INTIMIDATION	Case Initiated
I0803955	09/24/2008	50CFR648.14(a)(8)	OBSERVER INTIMIDATION	Case Initiated
I0804830	09/19/2008	50CFR648.14(a)(8)	OBSERVER INTERFERENCE	Closed - Unfounded
I0804868	11/10/2008	50CFR648.14(a)(8)	OBSERVER HARASSMENT HOSTILE ENVIRONMENT	Case Initiated
I0804969	12/04/2008	50CFR600.725(o)	SEXUALLY HARRASS AN OBSERVER	Case Initiated

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**OBSERVER HARASSMENT/INTIMIDATION/ASSAULT CASES 1/1/2009 TO 12/31/2009**

Incident	Location	Date	Regulation	Violation Description	Status
I0901245	AK	N/A	50 CFR 679.7(g)(1)	INTIMIDATE, SEXUALLY HARASS AN OBSERVER	Closed--Lack of Evidence
I0901534	AK	1/20/2009	50 CFR 679.7(g)(5)	HARASS AN OBSERVER TAMPER WITH OBSERVER EQUIPMENT	Open Investigation Open Investigation
I0901714	AK	2/2/2009	50 CFR 679.7(g)(3)	HARASS AN OBSERVER	Open Investigation
I0909121	AK	1/28/2009	50 CFR 679.7(g)(5)	HARASS AN OBSERVER CREATE AN OFFENSIVE WORK ENVIRONMENT	Open Investigation Open Investigation
I0901984	AK	2/23/2009	50 CFR 679.7(g)(1)	HARASS AN OBSERVER	Open Investigation Closed--Verbal Warning
I0902151	AK	2/17/2009	50 CFR 679.7(g)(5)	HARASS AN OBSERVER	Open Investigation
I0901434	AK	4/8/2009	50 CFR 679.7(g)(5)	OBSERVER THREAT INTIMIDATING, HOSTILE, WORK ENVIRONMENT	Open Investigation Closed--Verbal Warning
I0902415	AK	6/4/2009	50 CFR 679.7(g)(5)	HARASS AN OBSERVER	Open Investigation
I0903126	AK	5/23/2009	50 CFR 679.7(g)(5)	HARASS AN OBSERVER	Open Investigation
I0903356	AK	8/1/2009	50 CFR 600.725(o)	OBSERVER HARASSMENT observer v observer	Open Investigation Open Investigation
I0903516	AK	N/A	50 CFR 679.7(g)(1)	INTIMIDATE AN OBSERVER	Closed--Lack of Evidence

I0904367	AK	10/15/2009	50 CFR 679.7(g)(4)	TAMPER/DESTROY OBSERVER'S EQUIPMENT	Open Investigation
I1000251	AK	11/17/2009	50 CFR 679.7(g)(5)	OBSERVER HARASSMENT observer v observer	Open Investigation
I1000820	AK	11/6/2009	50 CFR 679.7(g)(5)	OBSERVER HARASSMENT	Open Investigation
I0900875	NE	3/11/2009	50 CFR 600.725(o)	UNWANTED ATTENTION FROM CREWMEMBER	Closed--Verbal Warning
I0901122	NE	3/5/2009	50 CFR 648.14(e)(8)	UNWELCOME SEXUAL SOUNDS TO OBSERVER	Closed--Verbal Warning
I0902123	NE	6/2/2009	50 CFR 600.725(o)	UNWANTED ATTENTION FROM CREWMEMBER	Closed--Lack of Evidence
I0902537	NE	3/14/2009	50 CFR 600.725(o)	CREWMEMBER MADE RACIST COMMENTS	Closed--Lack of Evidence
I0902601	NE	7/14/2009	50 CFR 648.14(e)(1)	OPERATOR YELLED AT & THREATENED OBSERVER	Open--Forwarded to GCEL
I0902681	NE	7/3/2009	50 CFR 648.14(e)(1)	HOSTILE ENVIRONMENT & INSULTED OBSERVER	Closed--Lack of Evidence
I0902682	NE	7/5/2009	50 CFR 648.14(e)(1)	OPERATOR YELLED AT & INSULTED OBSERVER	Closed--Verbal Warning
I0903576	NE	9/1/2009	50 CFR 648.14(e)(1)	OPERATOR YELLED AT & INSULTED OBSERVER	Closed--Lack of Evidence
I0904013	NE	10/20/2009	50 CFR 648.14(e)(1)	HOSTILE ENVIRONMENT & INSULTED OBSERVER	Open--Forwarded to GCEL
I0901170	PI	3/6/2009	16 USC 1857(L)	HARASS AN OBSERVER	Open Investigation
I0901370	PI	4/20/2009	50 CFR 600.725(o)	HARASS AN OBSERVER	Open--Forwarded to GCEL
I0902200	PI	6/2/2009	16 USC 1857(L)	HARASS AN OBSERVER	Hearing requested
I0902568	PI	6/13/2009	50 CFR 666.22	THREATEN OBSERVER WITH KNIFE	Hearing requested
I1000128	PI	12/16/2009	16 USC 1857(L)	HARASS & INTIMIDATE AN OBSERVER	Open Investigation

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### OBSERVER HARASSMENT/INTIMIDATION/ASSAULT CASES 1/1/2010 TO 12/31/2010

INCIDENT	Date	Regulation	Violation Description	Status
I1001010	4/19/2010	16USC1857(L)	HARASS AN OBSERVER	Open
I1001296	5/13/2010	16USC1857(1)(L)	HARASS AN OBSERVER	Closed-Adjudicated
I1002602	8/11/2010	16USC1857(L)	INTIMIDATE AN OBSERVER	Sent to GCEL
I1002013	6/28/2010	50 CFR 600.725	SEXUAL HARASSMENT OF OBSERVER	Closed-Declined by GC

I1000271	2/5/2010	50CFR648.14(e)(1)	INTIMIDATE AN OBSERVER	Closed - COPPS Closed - Verbal
I1001959	6/23/2010	50CFR648.14(e)(1)	HARASS AN OBSERVER	Warning Closed - Verbal
I1001615	6/2/2010	50CFR648.14(e)(1)	INTIMIDATE AN OBSERVER	Warning Closed - Verbal
I1001836	6/17/2010	50CFR648.14(e)(1)	HARASS AN OBSERVER	Warning Closed - Verbal
I1002085	7/1/2010	50CFR648.14(e)(1)	INTIMIDATE AN OBSERVER	Warning
I1002213	7/13/2010	16USC1857(1)(L)	ASSAULT/HARASSMENT OF AN OBSERVER	Criminal Prosecution
I1002347	7/23/2010	16USC1857(1)(L)	HARASS AN OBSERVER	Criminal Prosecution Closed - Written
I1002344	7/23/2010	50CFR648.14(e)(1)	INTIMIDATE AN OBSERVER	Warning Closed - Verbal
I1002428	7/28/2010	50CFR648.14(e)(1)	HARASS AN OBSERVER	Warning
I1002213	7/13/2010	16USC1857(1)(L)	ASSAULT OF AN OBSERVER	Criminal Prosecution
I1002347	7/23/2010	16USC1857(1)(L)	SEXUAL ASSAULT OF AN OBSERVER	Criminal Prosecution Closed - Verbal
I1000921	4/2/2010	50CFR679.7(g)(1)	INTIMIDATE AN OBSERVER	Warning
I1001013	4/19/2010	50 CFR679.7(g)(5)	SEXUAL HARASSMENT OF OBSERVER	Closed - Written Warning
I1001013	4/19/2010	50CFR679.7(g)(5)	SEXUAL HARASSMENT OF OBSERVER	Closed - Written Warning
I1001177	5/7/2010	50CFR679.7(g)(5)	CREATE HOSTILE WORK ENVIRONMENT	Closed - Summary Settlement
I1003090	8/19/2010	50CFR679.7(g)(5)	SEXUAL HARASSMENT OF OBSERVER	Closed - Verbal Warning
I1004005	10/19/2010	50 CFR 679.7(g)(5)	HOSTILE WORK ENVIRONMENT	Open
I1003993	10/27/2010	50 CFR 679.7(g)(1)	INTERFERE WITH OBSERVER	Closed - Written Warning

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**OBSERVER HARASSMENT/INTIMIDATION/ASSAULT CASES 1/1/2011 TO 12/31/2011**

Incident	Location	Date	Regulation	Violation Description	Status
I1100557	NE	2/9/2011	50CFR648.14(e)(1)	OBSERVER HARASSMENT (Verbal)	Closed - Information Only (Observer)

					Declined to Pursue)
I1100861	NE	3/3/2011	50CFR614.14(e)(1)	OBSERVER INTIMIDATION OBSERVER HARRASSMENT -	Closed - Lack of Evidence
I1101497	NE	3/21/2011	50CFR648.14(e)(1)	PASSIVE	Closed - COPPS
I1101558	AK	1/20/2011	50CFR679.7(g)(5)	INTIMIDATE AN OBSERVER	OPEN
I1101579	NE	3/4/2011	50CFR614.14(e)(1)	ASSAULT, INTIMIDATE, INTERFERE	Closed - Unfounded
I1101592	AK	3/29/2011	50CFR679.7(g)(5)	INTIMIDATE AN OBSERVER	Closed - Lack of ENF Resources
I1101618	NE	4/7/2011	50CFR648.14(e)(1)	OBSERVER HARASSMENT & INTIMIDATION	Open
I1101773	AK	2/22/2011	50CFR679.7(g)(5)	INTIMIDATE, HARASS, CREATE A HOSTILE WORK	Open
I1101816	AK	1/22/2011	50CFR679.7(g)(5)	ENVIRONMENT INTIMIDATE AND COERCE AN OBSERVER RE DATA AND	Closed - Information Only
I1101828	AKD	2/8/2011	50CFR679.7(g)(5)	RESULTS SEXUALLY HARASS AND INTIMIDATE AN OBSERVER	Closed-Verbal Warning Closed - Information Only
I1102043	AK	3/9/2010	50CFR679.7(g)(5)	INTERFERE WITH OBSERVER PERFORMANCE	Open
I1102634	AK	6/13/2011	16 USC 1857(L)	SEXUALLY ASSAULT AN OBSERVER	Open
I1102634	AK	6/13/2011	16 USC 1857(L)	SEXUALLY HARASS AN OBSERVER	Open
I1102931	AK	6/29/2011	50CFR679.7(g)(1)	ASSAULT/IMPEDE/HARASS	Open
I1103062	NE	6/24/2011	50CFR648.14(e)(1)	INTIMIDATE/HARASS	Open
I1103074	NE	6/5/2011	50CFR648.14(e)(1)	HARASS AN OBSERVER	Closed - Lack of Evidence
I1103284	NE	6/21/2011	50CFR600.725(u)(1)	HARASS AN OBSERVER	Open
I1103504	NE	7/4/2011	50CFR600.725(o)	HARASS AN OBSERVER HOSTILE WORK	Closed - COPPS
I1103538	AK	8/1/2011	50CFR679.7(g)(5)	ENVIRONMENT	Closed - COPPS
I1103999	AK	3/9/2011	50CFR679.7(g)(5)	SEXUALLY HARASS OBSERVER FORCIBLY ASSAULT,	Closed - COPPS
I1104469	AK	6/19/2011	50CFR679.7(g)(5)	INTERFERE, INTIMIDATE	Open
I1104474	AK	8/1/2011	50CFR679.7(g)(5)	INTIMIDATE AN OBSERVER	Open

I1104579	NE	9/25/2011	50CFR648.14(e)(1)	HARASS AN OBSERVER INTIMIDATE OR INTERFERE WITH OBSERVER	Closed - COPPS
I1104896	NED	10/6/2011	50CFR648.14(e)(1)	SEXUALLY HARASS OBSERVER	Closed - COPPS
I1105058	AKD	5/3/2011	50CFR679.7(g)(1)	HARASS AN OBSERVER	Open
I1105058	AKD	5/3/2011	50CFR679.7(g)(5)	CREATE AN INTIMIDATING, HOSTILE, OFFENSIVE WORK ENVIRONMENT.	Open
I1105108	AKD	8/13/2011	50CFR679.7(g)(5)	HARASS AN OBSERVER	Closed-Verbal Warning
I1105320	NED	12/8/2011	50CFR648.14(e)(1)	HARASS AN OBSERVER	Closed-Verbal Warning
I1105320	NED	12/8/2011	50CFR648.14(e)(1)	HARASS AN OBSERVER	Closed - Information Only
I1105337	NED	12/27/2011	50CFR648.14(e)(1)	HARASS AN OBSERVER CREATE AN INTIMIDATING/OFFENSIVE OR HOSTILE WORK ENVIRONMENT	Closed - Information Only
I1105340	AKD	9/26/2011	50CFR679.7(g)(5)	CREATE AN INTIMIDATING, HOSTILE OR OFFENSIVE WORK ENVIRONMENT	Closed - Information Only
I1105343	AKD	2/9/2011	50CFR679.7(g)(5)	INTERFERE OR INTIMIDATE AN OBSERVER	Closed - Information Only
I1105347	AKD	4/14/2011	50CFR679.7(g)(5)	HARASS AN OBSERVER	CLOSED- DUPLICATE CASE (I1105320)
I1200030	NED	12/19/2011	50CFR648.14(e)(1)	HARASS AN OBSERVER	Closed - Lack of Evidence
I1200113	NED	10/20/2011	50CFR648.14(e)(1)	INTIMIDATION	Closed - Information Only
I1200120	NED	9/27/2011	50CFR648.14(e)(1)	HARASS AN OBSERVER	Closed - Unfounded
I1200858	NED	4/8/2011	50CFR648.14(e)(1)	HARASS AN OBSERVER	Open
I1200884	NED	8/25/2011	50CFR648.14(e)(1)	OBSERVER INTIMIDATION	Open
I1200885	NED	7/21/2011	50CFR648.14(e)(1)	HARASS AN OBSERVER	Open