



UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
National Marine Fisheries Service
Office of Law Enforcement (OLE)
1315 East West Hwy
SSMC 3 RM 3301
Silver Spring, Maryland 20910

March 30, 2016

Ms. Laura Dumais
2000 P Street NW
Suite 240
Washington, DC 20036

Re: FOIA Request No. DOC-NOAA-2016-000706

Dear Ms. Dumais,

This is the first interim response to your Freedom of Information Act request in which you asked for the following:

- (1) A summary of all incidents of violence, threats, or harassment against NOAA employees that occurred in calendar year 2015. The summary should include the date, location, and nature of the incident or threat together with a summary of what, if any, outcomes stemmed from the incident or threat (e.g., arrest, conviction, ongoing investigation).
- (2) A summary of all incidents of violence, threats, or harassment against professional observers, including government contractors, that occurred in calendar year 2015. The summary should include incidents against observers aboard NOAA vessels or while otherwise carrying out their duties as NOAA contractors, and include the date, location, and nature of the incident or threat together with a summary of what, if any, outcomes stemmed from the incident or threat (e.g., arrest, conviction, ongoing investigation).

We are now providing summary response, in a table format, to Request Two, with the understanding that you reserve the right to request additional records or information based on the content of the initial responses.

Vessel Name	Date Reported	Nature of Incident	Port of Delivery	Case Disposition	Status
Alaska Warrior	11/24/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Info Only	Closed
Alaska Victory	7/1/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open

Royal Atlantic	5/7/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Lack of Resources	Closed
Laura	12/30/2014	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Verbal Warning	Closed
Deep Pacific	5/22/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Defender (Am80)	10/29/2014	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open
Pacific Glacier	10/21/2014	Harassment - Other	Unknown	Info Only	Closed
American No 1	1/7/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open
Blue Attu	1/22/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Verbal Warning	Closed
US Intrepid	12/7/2014	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open
Gulf Prowler	2/25/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open
Alaskan Leader	5/11/2015	Harassment - Sexual	Unknown	Ongoing	Open
Alaskan Leader	8/5/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open
Chellissa	3/17/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	COPPS	Closed
St Dominick	4/28/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open
Cynosure	4/28/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	COPPS	Closed
Gulf Prowler	4/30/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open

Enterprise	4/30/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open
Margaret Lyn	5/8/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open
Katie Ann	3/20/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open
Pacific Storm	4/6/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Unknown	Ongoing	Open
Ocean Hope 3	4/28/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Mothership	Info Only	Closed
Arctic Prowler	6/4/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Walter N	6/12/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	King Cove	Info Only	Closed
Gold Rush	8/17/2015	Harassment - Sexual	Akutan	Ongoing	Open
Gold Rush	8/17/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Akutan	Ongoing	Open
Alaska Juris	9/17/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Alaska Juris via Alaska Spirit	10/8/2015	Harassment - Sexual	Dutch Harbor/Unalaska	Ongoing	Open
Ocean Phoenix	10/1/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Excalibur II	8/13/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Kodiak	Ongoing	Open
Alaskan Leader	8/5/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Written Warning	Closed

Starlite	8/6/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Info Only	Closed
Constellation	8/12/2015	Harassment - Sexual	Dutch Harbor/Unalaska	Verbal Warning	Closed
Viking	8/5/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Pacific Storm	6/30/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Kodiak	Ongoing	Open
Kodiak Enterprise	9/4/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Katie Jean	9/8/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Adak	Verbal Warning	Closed
Golden Fleece	8/4/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Kodiak	Info Only	Closed
Rebecca Irene	8/25/2015	Harassment - Other	Dutch Harbor/Unalaska	Ongoing	Open
New Life	5/5/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Kodiak	Ongoing	Open
Columbia	9/30/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Akutan	Ongoing	Open
Alaska Warrior	9/18/2015	Harassment - Other	Dutch Harbor/Unalaska	Summary Settlement	
Alaska Ocean	10/6/2015	Harassment - Sexual	Dutch Harbor/Unalaska	Info Only	Closed
Alaska Ocean	10/29/2015	Harassment - Sexual	Dutch Harbor/Unalaska	Info Only	Closed
Frontier Explorer	9/16/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Info Only	Closed
Nordic Star	9/29/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Verbal Warning	Closed
Star Fish	9/17/2015	Harassment - Other	Dutch	Ongoing	Open

			Harbor/Unalaska		
Elizabeth F	10/13/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Kodiak	Unfounded	
Frontier Mariner	11/16/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Island Enterprise	10/20/2015	Harassment - Other	Dutch Harbor/Unalaska	Ongoing	Open
Defender (Am80)	10/20/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Info Only	Closed
Kristina	10/29/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Sitka	Info Only	Closed
Bay Islander	10/29/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Kodiak	Ongoing	Open
Pacific Glacier	10/6/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Info Only	Closed
Arica	5/11/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Peggy JO	10/12/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Northern Glacier	11/6/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Info Only	Closed
Alaskan Star	11/4/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Kodiak	Ongoing	Open
Alaskan Star	11/4/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Kodiak	Ongoing	Open
Karen Evich	11/6/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Sand Point	Ongoing	Open

Golden Fleece	11/12/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Kodiak	Ongoing	Open
Rebecca Irene	10/21/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Info Only	Closed
Vaerdal	12/7/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Northern Endurance	11/16/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Sand Point	Ongoing	Open
Alaska Juris	11/2/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Bering Leader	12/7/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Frontier Mariner	12/9/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
American No I	12/22/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Anthem	12/7/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Kodiak	Ongoing	Open
Enterprise	11/10/2015	Harassment - Other	Dutch Harbor/Unalaska	Ongoing	Open
American Eagle	10/6/2014	Harassment - Intimidate/Interfere/Hostile Work Environment	Mothership	Info Only	Closed
Gulf Prowler	11/5/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Gulf Prowler	11/19/2015	Harassment - Intimidate/Interfere/Hostile Work Environment	Dutch Harbor/Unalaska	Ongoing	Open
Deep Pacific	12/17/2015	Harassment - Other	Dutch Harbor/Unalaska	Ongoing	Open

Karoline Marie	07/29/2015	Observer Harassment	Gloucester, MA	Verbal Warning	Closed
Provider	9/29/2015	Observer Harassment	New London, CT	Verbal Warning	Closed
Jordan Taylor	9/22/2015	Observer Harassment	Marshfield	Verbal Warning	Closed
Kenneth J. Duncan	9/21/2015	Observer Harassment	Gloucester, MA	Lack of Evidence	Closed
Dawn T	7/20/2015	Observer Intimidation	Chatham, MA	Lack of Evidence	Closed
Sea Dancer	8/1/2015	Observer Interference	Chatham, MA	Verbal Warning	Closed
Alex	1/16/2015	Require/Pressure observer to perform duties normally performed by crew members.	Eureka, CA	Verbal Warning	Closed
Ashlyne	4/2/2015	Verbal Harassment	Warrenton, OR	Referred to USCG	Closed
Jaka B	6/8/2015	Observer Interference, harassment	Astoria, OR	NOVA issued by GCES	Open
Kodiak Enterprise	12/1/2015	Observer Harassment, sample bias	Seattle, WA	COPPS	Closed

We are currently waiting for another office to provide input that is responsive to your Request One, "summary of all incidents of violence, threats, or harassment against NOAA employees that occurred in calendar year 2015."

If additional records are found, we will forward them to you as soon as possible.

Although we do not consider this to be a denial of your request, you are granted appeal rights for this determination. Your appeal must be received within 30 calendar days of the date of the denial letter. Please address your appeal to:

**Assistant General Counsel for Administration
Freedom of Information Act Appeal
Room 5898-C
U.S. Department of Commerce
14th Street and Constitution Avenue, N.W.
Washington, DC 20230**

Your appeal may be sent by the following methods:

- by email to FOIAAppeals@doc.gov
- by facsimile (fax) to (202) 482-2552

- by FOIAonline, if you have an account at <https://foiaonline.regulations.gov>.

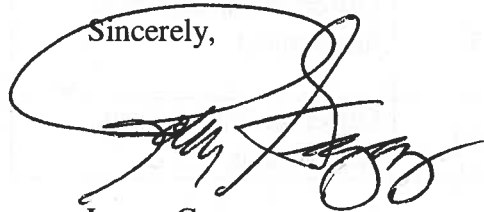
For your appeal to be complete, it must include the following items:

- a copy of the original request,
- our response to your request,
- your statement explaining why the withheld records should be made available, and why the denial of the records was in error.
- “Freedom of Information Act Appeal” must appear on your appeal letter. It should also be written on your envelope, e-mail subject line, or your fax cover sheet.

We receive correspondence only on business days from 8:30 a.m. to 5:00 p.m., Eastern Time. FOIA appeals received outside of our normal business hours will be deemed received on the next business day.

If you have any questions regarding this request or would like to request additional records or information based on the content of the initial responses, please contact Arlyn Penaranda at (301) 427-8256 or via email at Arlyn.Penaranda@noaa.gov.

Sincerely,

A handwritten signature in black ink, appearing to read 'Logan Gregory', written over a large, loopy flourish that starts under the word 'Sincerely' and extends to the right.

Logan Gregory
Deputy Director
Office of Law Enforcement